|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | https://www.mysecuritiesaccount.com/img/px.gif | **Order Routing**https://www.mysecuritiesaccount.com/images/filler_silver.gif[**Login**](https://www.mysecuritiesaccount.com/OrderRouting.asp) or search to view the order routing reports of equities and options.**Broker Name:**

|  |
| --- |
| Top of FormBottom of Form |

MIDDLEGATE SECURITIES LTD

|  |
| --- |
| **SEC Rule 606 Disclosure of Order Routing Practices**  |
|

|  |
| --- |
| Nasdaq SecuritiesQuarter Ending 12/31/2016  |
| **Exchange**  | **Market Order**  | **Limit**  | **Others**  | **Total**  |
|   **Non-Directed Orders as Percentage (%) of Total Customer Orders**  | **0.0%**     | **100.0%**     | **0.0%**     | **100.0%**     |
|   KCG Holdings Inc  | 0.0%     | 100.0%     | 0.0%     | 100.0%     |

 |  |  |  |  |
|

|  |
| --- |
| NYSE SecuritiesQuarter Ending  |
| **Exchange**  | **Market Order**  | **Limit**  | **Others**  | **Total**  |

 |  |  |  |  |
|

|  |
| --- |
| American Stock Exchange (and Regional Exchanges)Quarter Ending  |
| **Exchange**  | **Market Order**  | **Limit**  | **Others**  | **Total**  |

 |  |  |  |  |
|

|  |
| --- |
| Listed OptionsQuarter Ending  |
| **Exchange**  | **Market Order**  | **Limit**  | **Others**  | **Total**  |

 |  |  |  |  |

 |