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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | https://www.mysecuritiesaccount.com/img/px.gif | **Order Routing**  https://www.mysecuritiesaccount.com/images/filler_silver.gif    [**Login**](https://www.mysecuritiesaccount.com/OrderRouting.asp) or search to view the order routing reports of equities and options.    **Broker Name:**         |  | | --- | | Top of Form  Bottom of Form |   MIDDLEGATE SECURITIES LTD   |  |  |  |  |  | | --- | --- | --- | --- | --- | | **SEC Rule 606  Disclosure of Order Routing Practices** | | | | | | |  |  |  |  |  | | --- | --- | --- | --- | --- | | Nasdaq Securities Quarter Ending 12/31/2016 | | | | | | **Exchange** | **Market Order** | **Limit** | **Others** | **Total** | | **Non-Directed Orders as Percentage (%) of Total Customer Orders** | **0.0%** | **100.0%** | **0.0%** | **100.0%** | | KCG Holdings Inc | 0.0% | 100.0% | 0.0% | 100.0% | |  |  |  |  | | |  |  |  |  |  | | --- | --- | --- | --- | --- | | NYSE Securities Quarter Ending | | | | | | **Exchange** | **Market Order** | **Limit** | **Others** | **Total** | |  |  |  |  | | |  |  |  |  |  | | --- | --- | --- | --- | --- | | American Stock Exchange (and Regional Exchanges) Quarter Ending | | | | | | **Exchange** | **Market Order** | **Limit** | **Others** | **Total** | |  |  |  |  | | |  |  |  |  |  | | --- | --- | --- | --- | --- | | Listed Options Quarter Ending | | | | | | **Exchange** | **Market Order** | **Limit** | **Others** | **Total** | |  |  |  |  | |